



# ***STIC Search Report***

## ***EIC 2100***

**STIC Database Tracking Number: 182718**

**TO: Cam-Linh T Nguyen  
Location: RND 3C21  
Art Unit: 2161  
Tuesday, March 21, 2006**

**Case Serial Number: 09/741680**

**From: Lucy Park  
Location: EIC 2100  
RND-4B11  
Phone: 571-272-8667**

**lucy.park@uspto.gov**

### **Search Notes**

Dear Examiner Nguyen,

Here are the search results for your Fast & Focused search request on case number 09/741680. Please let me know if you have any questions about these or if you need any further information.

Lucy



# STIC EIC 2100 182718 Search Request Form

Today's Date:

3/21/06

What date would you like to use to limit the search?

Priority Date: 12/15/00

Other:

Name Nguyen, Cam Linh

AU 2161 Examiner # 78921

Room # RND-3021 Phone 24024

Serial # 09 / 741,680

Format for Search Results (Circle One):

PAPER

DISK

EMAIL

Where have you searched so far?

USP DWPI EPO JPO ACM IBM TDB

IEEE INSPEC SPI Other \_\_\_\_\_

Is this a "Fast & Focused" Search Request? (Circle One) YES NO

A "Fast & Focused" Search is completed in 2-3 hours (maximum). The search must be on a very specific topic and meet certain criteria. The criteria are posted in EIC2100 and on the EIC2100 NPL Web Page at <http://ptoweb/patents/stic/stic-tc2100.htm>.

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.

- Administrative function with sensitive (infer. user)
- "Security Officer" & normal administrator

STIC Searcher Luan Park

Phone

28667

Date picked up 3/21/06

Date Completed 3/21/06





# STIC Search Results Feedback Form

## EIC 2100

Questions about the scope or the results of the search? Contact *the EIC searcher or contact:*

Anne Hendrickson, EIC 2100 Team Leader  
272-3490, RND 4B28

## Voluntary Results Feedback Form

➤ I am an examiner in Workgroup:  Example: 2133

➤ Relevant prior art **found**, search results used as follows:

- ☐ 102 rejection
- ☐ 103 rejection
- ☐ Cited as being of interest.
- ☐ Helped examiner better understand the invention.
- ☐ Helped examiner better understand the state of the art in their technology.

Types of relevant prior art found:

- ☐ Foreign Patent(s)
- ☐ Non-Patent Literature  
(journal articles, conference proceedings, new product announcements etc.)

➤ Relevant prior art **not found**:

- ☐ Results verified the lack of relevant prior art (helped determine patentability).
- ☐ Results were not useful in determining patentability or understanding the invention.

Comments:

Drop off or send completed forms to STIC/EIC2100 RND, 4B28



Family Filter: **off** Help**Advanced Web Search****Build a query with...**

all of these words:

this exact phrase:

any of these words:

and none of these words

**SEARCH:** ☒ Worldwide ☐ USA **RESULTS IN:** ☒ All languages ☐ [English, Spanish](#)

AltaVista found 17 results

High Assurance Multilevel Services For Off-The-Shelf Workstation ApplicationsFile type:PDF - [Download PDF Reader](#)

... sharing of **sensitive** information by users at **multiple security levels** ... obtained by several projects. including both **database** systems, e.g ... classes [10] or mandatory **role**-based policies ...

[www.cs.nps.navy.mil/people/faculty/irvine/publications/older/MLS\\_LAN\\_nissc98.pdf](http://www.cs.nps.navy.mil/people/faculty/irvine/publications/older/MLS_LAN_nissc98.pdf)[More pages from cs.nps.navy.mil](#)High Assurance Multilevel Services For Off-The-Shelf Workstation ApplicationsFile type:PDF - [Download PDF Reader](#)

... sharing of **sensitive** information by users at **multiple security levels** ... obtained by several projects. including both **database** systems, e.g ... classes [10] or mandatory **role**-based policies ...

[csrc.nist.gov/nissc/1998/proceedings/paperF11.pdf](http://csrc.nist.gov/nissc/1998/proceedings/paperF11.pdf)[More pages from csrc.nist.gov](#)Protecting your network with firewalls, featuring Sun's SunScreen EFS firewall - SunWorld - January 1998

... are threatened. **Multiple security levels** will at ... system, data, and **user** levels. Security ... **database** server and the secure channel provides data privacy of proprietary or **sensitive** ...

[sunsite.uakom.sk/sunworldonline/swol-01-1998/swol-01-efs.html](http://sunsite.uakom.sk/sunworldonline/swol-01-1998/swol-01-efs.html)[More pages from sunsite.uakom.sk](#)defense message system working group statehouse inn, montgomery al

... individual, or **role** (a global directory ... But **Sensitive Messaging** – Classified Messaging CENTRAL COMPONENTS: - DMS **USER** AGENT ... 000 Terminals) - **Multiple Security Levels**: – UNCLAS ...

[www.mis.nps.navy.mil/~budden/xnplans/afplan/afdmsmtg](http://www.mis.nps.navy.mil/~budden/xnplans/afplan/afdmsmtg)[More pages from mis.nps.navy.mil](#)Proposal to Establish the Northern Virginia Metacomputing Center

... distances so that the **user** is unaware of physical ... Census Bureau's census and survey **database**. Often the amount ... Because of the central **role** of information-intensive applications ...

[www.galaxy.gmu.edu/meta/metacomp.html](http://www.galaxy.gmu.edu/meta/metacomp.html)

[More pages from galaxy.gmu.edu](#)

[www2.cddc.vt.edu/www.eff.org/Activism/fed\\_email\\_policy\\_omb.report](http://www2.cddc.vt.edu/www.eff.org/Activism/fed_email_policy_omb.report)

Sally Katzen, Administrator, Office of Information and Regulatory Affairs (OIRA), of the Office of Management and Budget (OMB), chartered an interagency task force to address "Electronic Messaging Among Federal Agencies." ... **user** training programs in order to prevent, detect, and correct security problems. As with most information systems, internal threats, such as the misuse or release of **sensitive** ...

[www2.cddc.vt.edu/www.eff.org/Activism/fed\\_email\\_policy\\_omb.report](http://www2.cddc.vt.edu/www.eff.org/Activism/fed_email_policy_omb.report)

[More pages from www2.cddc.vt.edu](#)

[DARPA - 61 Phase I Selections from the 99.1 Solicitation](#)

... can play a unique **role** in the design of multifunctional ... objects and objects stored in a **database**. Image Corp, Inc ... sensor enables a remote **user** to "look around" and assess ...

[www.dodsbir.com/selections/abs991darpa.htm](http://www.dodsbir.com/selections/abs991darpa.htm)

[More pages from dodsbir.com](#)

[packetstormsecurity.nl/docs/rainbow-books/NCSC-TR-002.txt](http://packetstormsecurity.nl/docs/rainbow-books/NCSC-TR-002.txt)

This approach can be used in conjunction with TDI developed systems or in the cases where the TDI does not apply. ... spirit of the "Trusted **Database** Management System Interpretation (TDI ... systems support highly **sensitive** and critical U.S. missions ... users must access **multiple security levels** in near ...

[packetstormsecurity.nl/docs/rainbow-books/NCSC-TR-002.txt](http://packetstormsecurity.nl/docs/rainbow-books/NCSC-TR-002.txt)

[More pages from packetstormsecurity.nl](#)

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**Result Pages: 1**

[Back To Top](#)

## Advanced Web Search

[H](#)

### ☒ Build a query with...

all of these words:

database role

this exact phrase:

multiple security levels

any of these words:

sensitive user

and none of these words

### ☐ Search with...

this boolean expression

Use terms such as AND, OR, NOT  
[More>>](#)

**SEARCH:** ☒ Worldwide ☐ USA **RESULTS IN:** ☒ All languages ☐ [English, Spanish](#)

**Date:**

☐ by timeframe:

☒ by date range:

**File type:**

**Location** ☒ by domain:

☐ By URL:

**Display:** ☐ site collapse (on/off) [What is this?](#)



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database "multiple administrator" roles

Search

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[Preferences](#)**Web**Results 1 - 10 of about 310 for **database "multiple administrator" roles**. (0.18 seconds)[\[PDF\] Microsoft PowerPoint - Lotusphere 2006\\_BES41\\_Preview.ppt](#)File Format: PDF/Adobe Acrobat - [View as HTML](#)Support for **Multiple Administrator Roles**. • Group-based Administration. • Support for DB2 ... If **database** remotely installed, then insure that DB2 ...[www.blackberry.com/news/events/pdfs/lotusphere\\_2006\\_bes41\\_preview.pdf](http://www.blackberry.com/news/events/pdfs/lotusphere_2006_bes41_preview.pdf) -[Similar pages](#)[Secure Computing: Sidewinder G2 Enterprise Manager - Product overview](#)... Distributed hierarchical administrator **roles**; Record locking circumvents ... SQL **database** architecture; Simultaneous, **multiple administrator** access ...[www.securecomputing.com/index.cfm?sKey=1133&pf=1](http://www.securecomputing.com/index.cfm?sKey=1133&pf=1) - 12k - [Cached](#) - [Similar pages](#)[Secure Computing: Sidewinder G2 Enterprise Manager - Product overview](#)Highly flexible SQL **database** architecture; Simultaneous, **multiple administrator** access; Organize appliances to mirror your network; Create unlimited, ...[www.securecomputing.com/index.cfm?sKey=1133](http://www.securecomputing.com/index.cfm?sKey=1133) - 38k - [Cached](#) - [Similar pages](#)[ [More results from www.securecomputing.com](#) ][Cisco CNS Network Registrar Users's Guide Web Interface, 6.0 ...](#)Global administrator—Responsible for the Central Configuration Manager (CCM) **database**.You should limit access to this **role**. host-admin ...[www.cisco.com/en/US/products/sw/netmgtsw/](http://www.cisco.com/en/US/products/sw/netmgtsw/)

ps1982/products\_user\_guide\_chapter09186a0080154e53.html - 121k -

[Cached](#) - [Similar pages](#)[\[PDF\] Global Administration](#)File Format: PDF/Adobe Acrobat - [View as HTML](#)administrative **roles** and access security, and monitors **database** changes and tasks. ...(Note, however, that you can also handle these **multiple administrator** ...[www.cisco.com/univercd/cc/td/doc/product/rtrmgmt/ciscoasu/nr/nr60/webui/03admin.pdf](http://www.cisco.com/univercd/cc/td/doc/product/rtrmgmt/ciscoasu/nr/nr60/webui/03admin.pdf) -[Similar pages](#)**Needs Assessment**Security & Administration, **Multiple administrator** roles with many levels of privilege ...Data encrypted inside **database**. Tests & Quizzes, Built-in quizzes, ...[caucuscare.com/inf\\_needs.shtml](http://caucuscare.com/inf_needs.shtml) - 19k - [Cached](#) - [Similar pages](#)[\[PPT\] Notes and Domino 6.5.1 What's New and How It Will Help You Win ...](#)File Format: Microsoft Powerpoint - [View as HTML](#)Programmability restrictions – control what applications can/can't do! **Database** signing and Execution Control Lists. **Multiple Administrator Roles** ...[www.sga.com/.../\\$FILE/Are%20you%20getting%20the%20most%20from%20your%20Domino%20investment.ppt](http://www.sga.com/.../$FILE/Are%20you%20getting%20the%20most%20from%20your%20Domino%20investment.ppt) -[Similar pages](#)[ChrisBallam.com: Chris Ballam's Resume](#)Duties include Web design, **database** design and modeling, ... and **multiple Administrator** sections with different user administration **roles** and access ...[www.chrisballam.com/resume/index.htm](http://www.chrisballam.com/resume/index.htm) - 29k - [Cached](#) - [Similar pages](#)

[www.ORAsearch.com](http://www.ORAsearch.com) - Dedicated Career Site for Oracle professionals!

Also Monitoring the health and server efficiency and **DataBase** Performance tuning is something I would ... Worked daily with **multiple Administrator role** for ...

[www.orasearch.com/ADIdocs5/DetailOpen.cfm?detail\\_id=192820](http://www.orasearch.com/ADIdocs5/DetailOpen.cfm?detail_id=192820) - 21k -

[Cached](#) - [Similar pages](#)

[ActivCard Secure Remote Access Solution \(Two-factor 2005 ...](#)

... delegation of **multiple administrator roles** if the situation calls for it. ... ActivCard provides an integrated **database** that controls both the tokens ...

[www.scmagazine.com/.../4c60c7ba-7b6e-4f1d-ae03-bbcb94ddb3ae/activcard-secure-remote-access-solution-/](http://www.scmagazine.com/.../4c60c7ba-7b6e-4f1d-ae03-bbcb94ddb3ae/activcard-secure-remote-access-solution-/) - 39k - [Cached](#) - [Similar pages](#)

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File 347:JAPIO Nov 1976-2005/Nov(Updated 060302)

(c) 2006 JPO & JAPIO

File 350:Derwent WPIX 1963-2006/UD,UM &UP=200619

(c) 2006 Thomson Derwent

Set	Items	Description
S1	669919	USER? ? OR ACCOUNT? ? OR USERNAME? ?
S2	10847	S1(3N) (SENSITIV??? OR CLASSIFIED OR RESTRICT??? OR SECRET - OR SECRECY OR PRIVILEG??? OR PRIVATE OR PRIVACY OR SECUR???)
S3	32499	ADMINISTRATOR? ? OR OFFICER? ? OR ADMIN? ? OR SYSADMIN? ? - OR AUTHORITY OR AUTHORITIES
S4	146	S3(3N) (NORMAL OR REGULAR OR BASIC OR USUAL OR UNCLASSIFIED OR (NON OR "NOT") () (SENSITIVE OR CLASSIFIED OR RESTRICT??? OR SECRET OR PRIVILEG??? OR PRIVATE OR SECUR???)
S5	814	S3(3N) (SPECIAL OR SECUR??? OR TOP OR HIGH??? OR SENSITIV??? OR CLASSIFIED OR RESTRICT??? OR SECRET OR SECRECY OR PRIVILE- G??? OR PRIVATE)
S6	8442	(S3 OR AUTHORIZ??? OR AUTHORIS??? OR AUTHORIZATION? ? OR A- UTHORISATION? ? OR SECURITY OR ACCESS) (3N) (LEVEL? ? OR TIER? ? OR ROLE? ? OR TYPE? ?)
S7	12	S2 AND (S4 OR S5) AND S6
S8	6	S7 NOT AD=20001215:20031215/PR
S9	5	S8 NOT AD=20031215:20060321/PR
S10	3	S4 AND S5
S11	74	S2 AND (S4 OR S5)
S12	62	S11 NOT (S7 OR S10)
S13	36	S12 NOT AD=20001215:20031215/PR
S14	30	S13 NOT AD=20031215:20060321/PR
S15	268717	DATABASE? ? OR DATABANK? ? OR DATASTORE? ? OR DB OR DBMS OR RDBMS OR RDB OR DATA() (BASE? ? OR BANK? ? OR STORE? ?)
S16	5	S15 AND S14
S17	1601	(S3 OR MANAGER? ?) (3N) (TWO OR THREE OR SECOND OR THIRD OR - NEXT OR ANOTHER OR ADDITIONAL OR MULTI OR MULTIPLE OR PLURAL? - ?? OR MANY OR SEVERAL OR NUMEROUS OR VARIOUS)
S18	97	S17 AND (S2 OR S4 OR S5 OR S6)
S19	9	S18 AND S15
S20	9	S19 NOT (S7 OR S10 OR S16)
S21	94	(S14 OR S18) AND IC=(G06F OR H04L)
S22	80	S21 NOT (S7 OR S10 OR S16 OR S20)
S23	61	S22 NOT AD=20001215:20031215/PR
S24	56	S23 NOT AD=20031215:20060321/PR
S25	368	S2 AND S6
S26	63	S25 AND S15
S27	58	S26 AND IC=(G06F OR H04L)
S28	29	S27 NOT AD=20001215:20031215/PR
S29	24	S28 NOT AD=20031215:20060321/PR
S30	23	S29 NOT (S7 OR S10 OR S16 OR S20)
S31	54	SECURITY()OFFICER? ?
S32	8	S31 AND S15
S33	7	S32 NOT (S7 OR S10 OR S16 OR S20 OR S30)

? logoff hold

21mar06 10:44:58 User259273 Session D346.5

9/5/3 (Item 3 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 Thomson Derwent. All rts. reserv.

013032013

WPI Acc No: 2000-203864/200018

XRPX Acc No: N00-151628

**Flexible DCE user management design method through GSO provides concept  
of policy object giving flexibility in specifying attributes and granting  
admin users privileges for new functions**

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
RD 429144	A	20000110	RD 99429144	A	19991220	200018 B

Priority Applications (No Type Date): RD 99429144 A 19991220

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
RD 429144	A	2	G06F-000/00	

Abstract (Basic): RD 429144 A

NOVELTY - Policy object defines values for some DCE user related attributes which GSO server will refer to in creating DCE user, also indicates if these DCE user management functions are allowed via GSO or not, and if yes what **levels** of **admin** users will have authority to perform new functions. The object currently contains 3 pairs of attributes and values and can be expanded for other policies in the future.

USE - For providing flexible DCE user management through GSO.

ADVANTAGE - Provides flexibility in specifying attributes and granting **admin users privileges** for the new functions, the concept of policy object is invented.

pp; 2 DwgNo 0/0

Title Terms: FLEXIBLE; USER; MANAGEMENT; DESIGN; METHOD; THROUGH; CONCEPT;  
OBJECT; FLEXIBLE; SPECIFIED; ATTRIBUTE; ADMINISTER; USER; NEW; FUNCTION

Derwent Class: T01

International Patent Class (Main): G06F-000/00

File Segment: EPI

9/5/4 (Item 4 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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010305330

WPI Acc No: 1995-206590/199527

XRPX Acc No: N95-161895

**Certifying public keys of digital signature in secure communications system - requiring user to present authority for verification key PKU to check if user knows secret signing key associated with verification key**

Patent Assignee: MICALI S (MICA-I)

Inventor: MICALI S

Number of Countries: 002 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5420927	A	19950530	US 94189248	A	19940201	199527 B
WO 9521495	A1	19950810	WO 95US1327	A	19950201	199537
AU 9517394	A	19950821	AU 9517394	A	19950201	199547
US 5420927	B1	19970204	US 94189248	A	19940201	199711

Priority Applications (No Type Date): US 94189248 A 19940201

Cited Patents: US 4326098; US 5214702; US 5261002; US 5299263; US 5307411

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 5420927	A		6	H04K-001/00	
WO 9521495	A1	E	19	H04K-001/00	
AU 9517394	A			H04K-001/00	Based on patent WO 9521495
US 5420927	B1		3	H04K-001/00	

Abstract (Basic): US 5420927 A

The method for certifying pieces of data in a secure communications system with at least two **levels** of **authorities**, involves presenting a piece of data requiring certification to a first- **level authority** for inspection of a given property. If the piece of data passes the inspection of the first- **level authority**, the first- **level authority** sends to a **higher authority** a digital signature indicating that the piece of data has passed the inspection of the first- **level authority**.

If the digital signature of the first- **level authority** is correct, the **higher authority** issues a certificate, which does not include a signature of the first **level authority**, that the piece of data possesses the given property. The piece of data presented is a verification key of a digital signature scheme. The given property of the presented verification key is that a given user has chosen the verification key to be the public key.

ADVANTAGE - Facilitates widespread verification of digital signatures of users.

Dwg.0/0

Title Terms: CERTIFY; PUBLIC; KEY; DIGITAL; SIGNATURE; SECURE; COMMUNICATE; SYSTEM; REQUIRE; USER; PRESENT; AUTHORISE; VERIFICATION; KEY; CHECK; USER; SECRET; SIGN; KEY; ASSOCIATE; VERIFICATION; KEY

Derwent Class: W01

International Patent Class (Main): H04K-001/00

International Patent Class (Additional): H04L-009/00

File Segment: EPI

10/5/2 (Item 2 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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014796657 \*\*Image available\*\*

WPI Acc No: 2002-617363/200266

XRPX Acc No: N02-488562

**Database system management method in distributed computing system,  
involves executing administrative function if object is not sensitive and  
function execution command is received from normal database  
administrator**

Patent Assignee: SAMAR V (SAMA-I)

Inventor: SAMAR V

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020078049	A1	20020620	US 2000741680	A	20001215	200266 B

Priority Applications (No Type Date): US 2000741680 A 20001215

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 20020078049	A1		9 G06F-017/30	

Abstract (Basic): US 20020078049 A1

NOVELTY - A command is received to perform an administrative function involving an object defined within the database system. The administrative function is performed, if the object is not sensitive and if the command is received from a **normal database administrator** (134) for the system. The function is restricted from execution if the object is sensitive and command is received from **security officer** (136).

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

(1) Computer readable storage medium storing database system management program; and

(2) Database system management apparatus.

USE - For managing database system storing sensitive, confidential data such as salary information, in distributed computing system.

ADVANTAGE - Provides the capability to store the sensitive data in encrypted form, while minimizing the number of database administrators needed to access the encrypted data, thereby reducing the security problem arising from allowing a large number of system administrators to have access to the encrypted data.

DESCRIPTION OF DRAWING(S) - The figure shows the schematic view of the distributed computing system.

Database administrator (134)

**Security officer** (136)

pp; 9 DwgNo 1/4

Title Terms: DATABASE; SYSTEM; MANAGEMENT; METHOD; DISTRIBUTE; COMPUTATION; SYSTEM; EXECUTE; ADMINISTER; FUNCTION; OBJECT; SENSITIVE; FUNCTION; EXECUTE; COMMAND; RECEIVE; NORMAL; DATABASE; ADMINISTER

Derwent Class: T01

International Patent Class (Main): G06F-017/30

International Patent Class (Additional): G06F-012/14; H04L-009/32

File Segment: EPI

*your  
application*

10/5/3 (Item 3 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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013493341 \*\*Image available\*\*  
WPI Acc No: 2000-665284/200064  
XRPX Acc No: N00-493048

**Cryptographic key distribution method for data communication, involves allocating private and public keys selected similar to selection of identity and sub- secret for subordinate administrators to final operators**

Patent Assignee: TOTALFOERSVARETS FORSKNINGSINSTITUT (TOTA-N); FOERSVARETS FORSKNINGSANSTALT (FOER-N)

Inventor: BENGTTSSON A

Number of Countries: 020 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200064098	A1	20001026	WO 2000SE721	A	20000414	200064 B
SE 9901358	A	20001017	SE 991358	A	19990416	200064
SE 515778	C2	20011008	SE 991358	A	19990416	200161

Priority Applications (No Type Date): SE 991358 A 19990416

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
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WO 200064098	A1	E 21	H04L-009/32	
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Designated States (National): JP US

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU  
MC NL PT SE

SE 9901358	A	H04L-009/32
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SE 515778	C2	H04L-009/32
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Abstract (Basic): WO 200064098 A1

NOVELTY - **Basic secret** and subordinate **administrators** (A1-A3) are selected by a main administrator (A). Identity in the form of unique prime number is provided to all administrators and associated final operators. Sub-secret is allocated to subordinate **administrators**. **Private** and public keys selected similar to selection of identity and sub- **secret** for subordinate **administrators**, are allocated to final operators.

USE - For data communication in communication network.

ADVANTAGE - Implements automatic handling of chains of certificates in nodes of the type radiosets. Enables to form a common secret, replace change of certificates with identities in certification authority hierarchy and cause implicit certification of public keys.

DESCRIPTION OF DRAWING(S) - The figure shows the hierarchical structure of main and subordinate administrators.

Main administrator (A)

Subordinate administrators (A1-A3)

pp; 21 DwgNo 1/1

Title Terms: CRYPTOGRAPHIC; KEY; DISTRIBUTE; METHOD; DATA; COMMUNICATE;  
ALLOCATE; PRIVATE; PUBLIC; KEY; SELECT; SIMILAR; SELECT; IDENTIFY; SUB;  
SECRET; SUBORDINATE; FINAL; OPERATE

Derwent Class: W01

International Patent Class (Main): H04L-009/32

File Segment: EPI

20/5/5 (Item 4 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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014708474 \*\*Image available\*\*  
WPI Acc No: 2002-529178/200256  
Related WPI Acc No: 2002-665836; 2003-017013  
XRPX Acc No: N02-419089

**Delegated administration of information in a database directory uses arbitrary group of users, which enables an administrator to form administrative domains and sub-domains using the arbitrary group of users**  
Patent Assignee: GENERAL ELECTRIC CO (GENE )  
Inventor: AGGOUR K S; BARNETT J A; KORNFEIN M M; MEHRING D T; SEBASTIAN J; VIVIER B J

Number of Countries: 095 Number of Patents: 007

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200257881	A2	20020725	WO 2002US1336	A	20020116	200256 B
KR 2002084184	A	20021104	KR 2002711985	A	20020913	200320
US 20030163438	A1	20030828	US 2000241645	P	20001019	200357
			US 2001760995	A	20010116	
CN 1455905	A	20031112	CN 2002800100	A	20020116	200412
AU 2002239949	A1	20020730	AU 2002239949	A	20020116	200427
JP 2004525444	W	20040819	JP 2002558100	A	20020116	200455
			WO 2002US1336	A	20020116	
AU 2002239949	A8	20051013	AU 2002239949	A	20020116	200611

Priority Applications (No Type Date): US 2001760995 A 20010116; US 2000241645 P 20001019

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
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WO 200257881	A2	E 45	G06F-000/00	
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Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW

KR 2002084184	A		G06F-017/40	
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US 20030163438	A1		G06F-007/00	Provisional application US 2000241645
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CN 1455905	A		G06F-017/60	
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AU 2002239949	A1		G06F-000/00	Based on patent WO 200257881
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JP 2004525444	W	75	G06F-012/00	Based on patent WO 200257881
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AU 2002239949	A8		G06F-017/60	Based on patent WO 200257881
---------------	----	--	-------------	------------------------------

Abstract (Basic): WO 200257881 A2

NOVELTY - Method for managing user information in a **database** directory, comprises: organizing the user information according to attribute values assigned to the information; specifying the organized user information into arbitrary group of users; and managing the user information associated with the arbitrary group of users.

DETAILED DESCRIPTION - INDEPENDENT CLAIM included for the following: method for providing delegated administration; user community administration tool; system; computer-readable medium

USE - For computer **databases** .

ADVANTAGE - Enables an administrator to form administrative domains and sub-domains using the arbitrary group of users. Also the delegated administrative tool enables an administrator to delegate administration and **various types** of administrative **authority** to other users within a community of users. Administration tool provides the

capability identify many different and arbitrary sets of users whose management is to be delegated so that administration can be performed for any type of organization or community, regardless of its structure.

DESCRIPTION OF DRAWING(S) - The diagram shows an example of a user community.

pp; 45 DwgNo 1/10

Title Terms: ADMINISTER; INFORMATION; **DATABASE** ; DIRECTORY; ARBITRARY;  
GROUP; USER; ENABLE; ADMINISTER; FORM; ADMINISTER; DOMAIN; SUB; DOMAIN;  
ARBITRARY; GROUP; USER

Derwent Class: S05; T01

International Patent Class (Main): G06F-000/00; G06F-007/00; G06F-012/00;  
G06F-017/40; G06F-017/60

International Patent Class (Additional): G06F-012/14; G06F-015/16;  
G06F-017/30

File Segment: EPI

30/5/3 (Item 3 from file: 347)  
DIALOG(R)File 347:JAPIO  
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04571116 \*\*Image available\*\*  
FILE SECURITY PROTECTION METHOD

PUB. NO.: 06-243016 [JP 6243016 A]  
PUBLISHED: September 02, 1994 (19940902)  
INVENTOR(s): ITO YUJI  
APPLICANT(s): NIPPON DENKI COMPUTER SYST KK [000000] (A Japanese Company or Corporation), JP (Japan)  
APPL. NO.: 05-026387 [JP 9326387]  
FILED: February 16, 1993 (19930216)  
INTL CLASS: [5] G06F-012/00 ; G06F-012/14  
JAPIO CLASS: 45.2 (INFORMATION PROCESSING -- Memory Units)  
JOURNAL: Section: P, Section No. 1835, Vol. 18, No. 630, Pg. 157,  
November 30, 1994 (19941130)

#### ABSTRACT

PURPOSE: To systematically and easily protect data on a file by adding a data class and a **security level** to the file attribute and prescribing the data class to which a user can access and the upper limit of the **security level**.

CONSTITUTION: When the user starts access to a system, a user management system 16 retrieves a user management **data base** 19 and reads an access right list obtained by combining the data class which the user can access and the upper limit of the **security level** into a memory. When the user requests the allocation of the file, a file management system 11 retrieves a file management **data base** 10, reads the data class and the **security level** of the file, recognizes that the data class is included in the access right list of the **user** and the **security level** does not exceed the upper limit of the **security level** of the **user**, and denies an allocation request when they violate the rules. Thus, a relation between data on the file and the user is arranged and systematic security which is easily managed is realized.



30/5/19 (Item 16 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2006 Thomson Derwent. All rts. reserv.

009674689 \*\*Image available\*\*  
WPI Acc No: 1993-368242/199346  
XRPX Acc No: N93-284310

**Determining direct and indirect access privileges held by database user - displaying names of objects, identifying type of access to each object, and indicating whether such access privileges may be extended to others**

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )

Inventor: HOFFMAN R D

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5261102	A	19931109	US 91678572	A	19910328	199346 B

Priority Applications (No Type Date): US 91678572 A 19910328

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 5261102	A	12	G06F-015/401	

Abstract (Basic): US 5261102 A

The method involves requesting a determination of objects to which a given **user** has access **privileges** . The objects to which the **user** has direct access **privileges** , and the objects to which the **user** has indirect access **privileges** are automatically determined. All access gps. to which the user belongs are automatically determined. The objects to which the access groups, determined above, have access privileges are automatically determined.

The **type** of **access** to each object to which the **user** has access **privileges** are automatically determined. It is determined whether the access privileges for each object to which the **user** has access **privileges** may be extended to others. The **access** privilege information, the **type** of **access** together with the respective object, and whether the access privileges may be extended to others, is provided to the user.

ADVANTAGE - ''Product independent'', can be imported to any **database** management program product. Implemented in non-procedural computer language.

Dwg.5/5

Title Terms: DETERMINE; DIRECT; INDIRECT; ACCESS; HELD; **DATABASE** ; USER; DISPLAY; NAME; OBJECT; IDENTIFY; TYPE; ACCESS; OBJECT; INDICATE; ACCESS; EXTEND

Derwent Class: T01

International Patent Class (Main): **G06F-015/401**

File Segment: EPI

File 2:INSPEC 1898-2006/Mar W2  
(c) 2006 Institution of Electrical Engineers  
File 6:NTIS 1964-2006/Mar W1  
(c) 2006 NTIS, Intl Cpyrght All Rights Res  
File 8:Ei Compendex(R) 1970-2006/Mar W2  
(c) 2006 Elsevier Eng. Info. Inc.  
File 23:CSA Technology Research Database 1963-2006/Mar  
(c) 2006 CSA.  
File 34:SciSearch(R) Cited Ref Sci 1990-2006/Mar W2  
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File 35:Dissertation Abs Online 1861-2006/Feb  
(c) 2006 ProQuest Info&Learning  
File 65:Inside Conferences 1993-2006/Mar 20  
(c) 2006 BLDSC all rts. reserv.  
File 94:JICST-EPlus 1985-2006/Dec W4  
(c)2006 Japan Science and Tech Corp(JST)  
File 99:Wilson Appl. Sci & Tech Abs 1983-2006/Feb  
(c) 2006 The HW Wilson Co.  
File 111:TGG Natl.Newspaper Index(SM) 1979-2006/Mar 13  
(c) 2006 The Gale Group  
File 144:Pascal 1973-2006/Feb W4  
(c) 2006 INIST/CNRS  
File 239:Mathsci 1940-2006/Apr  
(c) 2006 American Mathematical Society  
File 256:TecInfoSource 82-2006/Feb  
(c) 2006 Info.Sources Inc

Set	Items	Description
S1	2313296	USER? ? OR ACCOUNT? ? OR USERNAME? ?
S2	17710	S1(3N) (SENSITIV??? OR CLASSIFIED OR RESTRICT??? OR SECRET - OR SECRECY OR PRIVILEG??? OR PRIVATE OR PRIVACY OR SECUR???)
S3	502465	ADMINISTRATOR? ? OR OFFICER? ? OR ADMIN? ? OR SYSADMIN? ? - OR AUTHORITY OR AUTHORITIES OR MANAGER? ?
S4	1220	S3(3N) (NORMAL OR REGULAR OR BASIC OR USUAL OR UNCLASSIFIED OR (NON OR "NOT") () (SENSITIVE OR CLASSIFIED OR RESTRICT??? OR SECRET OR PRIVILEG??? OR PRIVATE OR SECUR???)
S5	17918	S3(3N) (SPECIAL OR SECUR??? OR TOP OR HIGH??? OR SENSITIV??? OR CLASSIFIED OR RESTRICT??? OR SECRET OR SECRECY OR PRIVILE- G??? OR PRIVATE)
S6	37380	(S3 OR AUTHORIZ??? OR AUTHORIS??? OR AUTHORIZATION? ? OR A- UTHORISATION? ? OR SECURITY OR ACCESS) (3N) (LEVEL? ? OR TIER? ? OR ROLE? ? OR TYPE? ?)
S7	44	S2 AND (S4 OR S5) AND S6
S8	38	RD (unique items)
S9	31	S8 NOT PY=2001:2006
S10	100	S4 AND S5
S11	24	S10 AND (S2 OR S6)
S12	24	RD (unique items)
S13	24	S12 NOT S9
S14	20	S13 NOT PY=2001:2006
S15	1108341	DATABASE? ? OR DATABANK? ? OR DATASTORE? ? OR DB OR DBMS OR RDBMS OR RDB OR DATA() (BASE? ? OR BANK? ? OR STORE? ?)
S16	2	S15 AND S10
S17	190	S15 AND S2 AND S6
S18	20333	S3(3N) (TWO OR THREE OR SECOND OR THIRD OR NEXT OR ANOTHER - OR ADDITIONAL OR MULTI OR MULTIPLE OR PLURAL??? OR MANY OR SE- VERAL OR NUMEROUS OR VARIOUS)
S19	0	S18 AND S17
S20	1094	S18 AND S15
S21	85	S20 AND (S2 OR S6)
S22	69	RD (unique items)

S23 57 S22 NOT PY=2001:2006  
S24 44 S23 NOT RD=20001215:20060321  
S25 44 S24 NOT (SS9 OR S14 OR S16)  
S26 44 S25 NOT PD=20001215:20060321  
S27 717 SECURITY()OFFICER? ?  
S28 27 S27 AND S2  
S29 22 RD (unique items)  
S30 16 S29 NOT PY=2001:2006  
S31 14 S30 NOT (S9 OR S14 OR S16)  
? logoff hold  
21mar06 11:37:16 User259273 Session D346.10

9/5/1 (Item 1 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2006 Institution of Electrical Engineers. All rts. reserv.

07636374 INSPEC Abstract Number: C2000-08-6130S-026

**Title: An adaptable security manager for real-time transactions**

Author(s): Son, S.H.; Zimmerman, R.; Hansson, J.

Author Affiliation: Dept. of Comput. Sci., Virginia Univ., Charlottesville, VA, USA

Conference Title: Proceedings 12th Euromicro Conference on Real-Time Systems. Euromicro RTS 2000 p.63-70

Publisher: IEEE Comput. Soc, Los Alamitos, CA, USA

Publication Date: 2000 Country of Publication: USA xiii+281 pp.

ISBN: 0 7695 0734 4 Material Identity Number: XX-2000-01451

U.S. Copyright Clearance Center Code: 0 7695 0734 4/2000/\$10.00

Conference Title: Proceedings 12th Euromicro Conference on Real-Time Systems. Euromicro RTS 2000

Conference Date: 19-21 June 2000 Conference Location: Stockholm, Sweden

Language: English Document Type: Conference Paper (PA)

Treatment: Practical (P)

Abstract: The rising demand for real-time services over networks, such as Web-based information services, requires new approaches for balancing competing demands on limited resources. The BeeHive database system proposes a novel solution to this need by the use of adaptive real time, fault tolerance, quality of service and security services based on rules embedded in individual objects. These rules prescribe tradeoffs of alternate levels of service (and cost) when resource contention becomes a problem. The approach momentarily trades off the **level** of **security** to achieve the required real-time performance. In many situations, this is an acceptable, and even preferred, solution. We have developed an adaptable **security manager** to provide alternate levels of communications **security** to multiple **users** and to dynamically adapt to real-time performance conditions. In this paper, we present the design and evaluation of the proposed **security manager** that utilizes the notion of adaptable security services. (6 Refs)

Subfile: C

Descriptors: adaptive systems; distributed databases; fault tolerant computing; information resources; quality of service; real-time systems; security of data; telecommunication security; transaction processing

Identifiers: adaptable **security manager**; real-time transactions; real-time network services; World Wide Web-based information services; competing demands; limited resources; BeeHive database system; adaptive real-time system; fault tolerance; service quality; adaptable security services; embedded rules; service levels; cost levels; resource contention; **security level**; real-time performance; multi-**user** communications **security**; real-time performance conditions; adaptable tradeoffs; multi-level **security**

Class Codes: C6130S (Data security); C6160B (Distributed databases)

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9/5/2 (Item 2 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2006 Institution of Electrical Engineers. All rts. reserv.

07547297 INSPEC Abstract Number: B2000-05-6210C-011, C2000-05-5620-015

**Title: Dynamic monitoring for security management based on state transition**

Author(s): Heejin Jang; Sangwook Kim

Journal: Journal of KISS(A) (Computer Systems and Theory) vol.26,  
no.12 p.1468-75

Publisher: Korea Inf. Sci. Soc,

Publication Date: Dec. 1999 Country of Publication: South Korea

CODEN: CKNOF2 ISSN: 1226-2315

SICI: 1226-2315(199912)26:12L.1468:DMSM;1-M

Material Identity Number: E345-2000-004

Language: Korean Document Type: Journal Paper (JP)

Treatment: Practical (P)

Abstract: It is highly required to quickly detect the vulnerability of a computer network system and an appropriate action toward it should be followed as soon as possible for its security. It leads us to the need of a monitoring schema that can provide an integrated security management with carefully selected and analysed data through the computer network for its users. This paper presents a formal model of dynamic monitoring for security management. It provides the comprehensive security management using continuously changing **security** information, **user** interactions and dynamic activation of visual and monitoring objects. It enables system **security officers** to manage computer systems accurately, efficiently and conveniently by reflecting the state transition and the transformation of concerns and a monitoring **level** of system **security officers** immediately. This model can be used as the basis of a monitoring platform.

(3 Refs)

Subfile: B C

Descriptors: computer network management; security of data

Identifiers: security management; state transition; integrated security management; monitoring schema; computer network; dynamic monitoring

Class Codes: B6210C (Network management); C5620 (Computer networks and techniques); C6130S (Data security); C0310D (Computer installation management)

Copyright 2000, IEE

9/5/3 (Item 3 from file: 2)

DIALOG(R)File 2:INSPEC

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07396782 INSPEC Abstract Number: C1999-12-6130S-031

**Title: An integrity enforcement application design and operation framework in role -based access control systems: A session-oriented approach**

Author(s): HyungHyo Lee; BongNam Noh

Author Affiliation: Dept. of Comput. Sci., Chonnam Nat. Univ., Kwangju, South Korea

Conference Title: Proceedings of the 1999 ICPP Workshops on Collaboration and Mobile Computing (CMC'99). Group Communications (IWGC). Internet '99 (IWI'99). Industrial Applications on Network Computing (INDAP). Multimedia Network Systems (MMNS). Security (IWSEC). Parallel Computing '99 (IWPC'99). Parallel Execution on Reconfigurable Hardware (PERH) p.179-84

Editor(s): Panda, D.; Takizawa, M.

Publisher: IEEE, Los Alamitos, CA, USA

Publication Date: 1999 Country of Publication: USA xxi+622 pp.

ISBN: 0 7695 0353 5 Material Identity Number: XX-1999-01656

U.S. Copyright Clearance Center Code: 0 7695 0353 5/99/\$10.00

Conference Title: Proceedings of the 1999 ICPP Workshops

Conference Sponsor: Inf. Process. Soc. Japan (IPSJ); Int. Assoc. Comput. & Commun. (IACC); Univ. Aizu, Japan; Ohio State Univ., USA

Conference Date: 21-24 Sept. 1999 Conference Location: Aizu-Wakamatsu, Japan

Language: English Document Type: Conference Paper (PA)

Treatment: Theoretical (T)

**Abstract:** **Role** -based **access** control (RBAC) policy is being widely accepted not only as an access control policy but as a flexible permission management framework in various commercial environments. RBAC simplifies the process of security management by assigning permissions to roles not

directly to individual **users**. As **security administrators** can design and manage security policies by changing the configuration of RBAC components to meet their organization's own security needs, RBAC is called policy-neutral and has ability to articulate enterprise-specific security policies. While most researches on RBAC are for defining, describing model in formal method and other important properties such as separation of duty, little work has been done on how applications should be designed and then executed in automated information systems based on RBAC security model. In this paper, we describe important, dynamic features of a session that can be used as a vehicle for building applications, and present a basic framework for session-oriented integrity enforcement application design and operation applicable to commercial environments. (15 Refs)

Subfile: C

Descriptors: access protocols; security of data

Identifiers: integrity enforcement; access control; session-oriented approach; RBAC; access control policy; flexible permission management; security management; commercial environments

Class Codes: C6130S (Data security); C5640 (Protocols)

Copyright 1999, IEE

9/5/6 (Item 6 from file: 2)

DIALOG(R)File 2:INSPEC

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06282876 INSPEC Abstract Number: C9607-6130S-060

**Title:** Role -based access control in real systems

**Author(s):** Parker, T.; Sundt, C.

**Journal:** Information Systems Security vol.5, no.1 p.26-37

**Publisher:** Auerbach Publications,

**Publication Date:** Spring 1996 **Country of Publication:** USA

**ISSN:** 1065-898X

**SICI:** 1065-898X(199621)5:1L.26:RBAC;1-K

**Material Identity Number:** F173-96001

**Language:** English **Document Type:** Journal Paper (JP)

**Treatment:** Practical (P)

**Abstract:** **Role** -based **access** control can be used to support the real-world access control requirements of a distributed system. This article describes a role model as used in the context of a distributed security infrastructure such as SESAME or OSF/DCE security. It is based on practical experience in the use of roles in real products and shows how **role** -based **access** control benefits both the **user** and the **security manager** . It also highlights the key practical issues that needed to be resolved in deriving this model. (7 Refs)

**Subfile:** C

**Descriptors:** authorisation; distributed processing; open systems

**Identifiers:** **role** -based **access** control; real-world access control; distributed system; role model; distributed security infrastructure; SESAME ; OSF/DCE; **security manager** ; user benefits

**Class Codes:** C6130S (Data security); C6150N (Distributed systems software

)

Copyright 1996, IEE

9/5/7 (Item 7 from file: 2)

DIALOG(R)File 2:INSPEC

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04181422 INSPEC Abstract Number: C88043164

**Title: An EDP auditor's look at Top Secret**

Author(s): Decker, A.

Journal: EDPACS vol.15, no.10 p.5-10

Publication Date: April 1988 Country of Publication: USA

CODEN: EDPCDF ISSN: 0736-6981

Language: English Document Type: Journal Paper (JP)

Treatment: Practical (P); Product Review (R)

Abstract: CA-TOP SECRET, if properly installed and implemented, it can provide comprehensive security for a variety of resources and MVS subsystems. The level of protection provided is directly related to the implementation and subsequent administration of the product. This article provides the auditor with the points that should be addressed during an audit of its implementation and administration. The author considers: ACIDs (accessor-IDs), modes of operation, ownership, **level of access**, Top **Secret** files, **user** attributes, reporting capabilities; administrative **authorities** for auditors; **security administrators**; auditing the **security** database and other security concerns. (0 Refs)

Subfile: C

Descriptors: auditing; DP management; IBM computers; security of data

Identifiers: IBM MVS/370 environments; IBM MVS/XA environments; CA-TOP SECRET; ACIDs; accessor-IDs; modes of operation; ownership; **level of access**; Top Secret files; user attributes; reporting capabilities; administrative authorities for auditors; **security administrators**; security database

Class Codes: C0310D (Installation management); C6130 (Data handling techniques); C6150J (Operating systems)



9/5/8 (Item 8 from file: 2)

DIALOG(R) File 2:INSPEC

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02644255 INSPEC Abstract Number: C81008901

**Title:** Grant levels in an authorization mechanism

Author(s): Paredaens, J.; Ponsaert, F.

Author Affiliation: Dept. of Math., Univ. Instelling Antwerpen, Wilrijk, Belgium

Journal: Information Processing Letters vol.11, no.4-5 p.152-5

Publication Date: 12 Dec. 1980 Country of Publication: Netherlands

CODEN: IFPLAT ISSN: 0020-0190

Language: English Document Type: Journal Paper (JP)

Treatment: Practical (P)

Abstract: In some database systems the possibility exists to give grants, and if necessary to revoke them afterwards. The creator of some file or table is the only **user** who has the **privilege** to use that file or table, unless he grants the **privilege** to another **user**. The main purpose of an MIS is to provide information to management. In this framework the management has an hierarchical structure in which a level is associated to every manager. A **manager** can give **privileges** to its direct inferiors. Usually these privileges may be granted on and on only until a given maximal distance, down the hierarchy. A generalization is proposed: whenever a privilege is granted by a user, a level is associated indicating the maximum distance at which a privilege can be granted. (3 Refs)

Subfile: C

Descriptors: database management systems; management information systems; security of data

Identifiers: authorization mechanism; database systems; grants; hierarchical structure; MIS; management information systems; security of data

Class Codes: C6160 (Database management systems (DBMS)); C7100 (Business and administration)

9/5/10 (Item 2 from file: 6)

DIALOG(R)File 6:NTIS

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1568356 NTIS Accession Number: AD-A230 437/6

**Example Secure System Specified Using the Terry-Wiseman Approach**

Harrold, C. L.

Royal Signals and Radar Establishment, Malvern (England).

Corp. Source Codes: 053783000; 409929

Sponsor: Defence Research Information Centre, Orpington (England).

Report No.: RSRE-90011; DRIC-BR-115326

Jul 90 65p

Languages: English

Journal Announcement: GRAI9112

Order this product from NTIS by: phone at 1-800-553-NTIS (U.S. customers); (703)605-6000 (other countries); fax at (703)321-8547; and email at orders@ntis.fedworld.gov. NTIS is located at 5285 Port Royal Road, Springfield, VA, 22161, USA.

NTIS Prices: PC A04/MF A01

Country of Publication: United Kingdom

This report presents the specification of operations for a secure document handling system (SERCUS). The specification uses the Terry-Wiseman Security Policy Model and therefore acts as an example of the modelling approach. The specification uses the mathematical notation  $Z$ , and consequently also acts as an example of the use of  $Z$  in specifying secure systems. However, it must be noted that an appreciation of SERCUS, the model and modelling approach can usefully be gained even if the formal specifications are not read. The Terry-Wiseman Model and its interpretation are given as an Annex to this report. SERCUS is essentially an electronic registry system which controls the creation of, and access to, classified documents and mail messages. In the usual way, the users are assigned clearances which limit their ability to observe and modify the information in the system. In addition to their clearance, the users have a designated role to play. The possible **roles** are **security officer** and ordinary **user**, although there were also registry clerks in the original, longer, specification. Certain operations may only be performed by users with the appropriate **role**. For example, only **security officers** may create new legal users or review journalled information and, in the original specification, only registry clerks could create files or add documents to files. Although the model does allow systems to be specified where individuals can have more than one role, this is not required in the SERCUS application, and each user is assigned a single fixed role.

Descriptors: \*Documents; Classified materials; Electronic equipment; Files(Records); Handling; Law enforcement; Mathematics; Model theory; Officer personnel; Specifications

Identifiers: \*Foreign technology; \*Data processing security; NTISDODXA

Section Headings: 62GE (Computers, Control, and Information Theory--General)

9/5/15 (Item 1 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online  
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01330764 ORDER NO: AADMM-81188

**A DYNAMIC, EVENT-DEPENDENT DATA CONTROL: A USER-ROLE VIEW-BASED APPROACH**

Author: MOHAMMED, IMTIAZ

Degree: M.A.SC.

Year: 1992

Corporate Source/Institution: UNIVERSITY OF WATERLOO (CANADA) (1141)

Source: VOLUME 32/01 of MASTERS ABSTRACTS.

PAGE 279. 158 PAGES

Descriptors: COMPUTER SCIENCE

Descriptor Codes: 0984

ISBN: 0-315-81188-9

Preventing the disclosure, modification or destruction of information held in a database is one of the most important considerations of a Database Management System and it has been the subject of active research for the past several years.

While Mandatory Access Control (MAC) assigns **security** clearance **levels** (e.g., top secret, secret) to all of the data to achieve access control, Discretionary Access Control (DAC) assigns **privileges** to **users** customized to their responsibilities within the application. The fundamental limitation with the above mechanisms is that they are unable to deal with the changing roles of a user (based on the occurrence of an event) within an application. As a result, **User - Role Based Security** (URBS) has been proposed as a means of addressing the above weaknesses.

In this thesis we demonstrate how URBS can be used to augment the existing security mechanisms. We first extend and enhance the URBS concept (originally proposed for the object-oriented model) to the relational model. The extension and enhancement include: (1) defining the notion of events in an application; and (2) requiring the Database **Administrator** to manage the **security** scheme. We then implement dynamic, event-dependent **user - role based security** in a prototype that runs on the Oracle DBMS. The prototype is tested and the results are evaluated. Finally, we draw conclusions and offer suggestions for further study.

26/5/11 (Item 11 from file: 2)

DIALOG(R) File 2:INSPEC

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04602628 INSPEC Abstract Number: C90028391

**Title:** Analysis of the privacy model for the information system DORIS

**Author(s):** Biskup, J.; Graf, H.-W.

**Author Affiliation:** Inst. fur Inf., Hochschule Hildesheim, West Germany

**Conference Title:** Database Security, II. Status and Prospects. Results of the IFIP WG 11.3 Workshop p.123-40

**Editor(s):** Landwehr, C.E.

**Publisher:** North-Holland, Amsterdam, Netherlands

**Publication Date:** 1989 **Country of Publication:** Netherlands viii+281 pp.

**ISBN:** 0 444 87483 6

**Conference Sponsor:** Queens Univ

**Conference Date:** 5-7 Oct. 1988 **Conference Location:** Kingston, Ont., Canada

**Language:** English **Document Type:** Conference Paper (PA)

**Treatment:** Practical (P)

**Abstract:** The information system DORIS has been designed to support privacy as the individual's right of informational self-determination. Technically it is based on careful adaptations of concepts for object oriented programming, relational **databases** and capability based operating systems. In the privacy model of DORIS there are **two** kinds of rights: **authorities** on **roles** (allowing operations) that are statically declared for groups (classes), and acquaintances (access capabilities) that are dynamically granted to specific persons (instances). Combined rights can also temporarily be made available. The dynamic distribution of rights within the system is analyzed by determining the largest set of acquaintances that a person can ever obtain. Known methods and results for capability based access control models are extended for treating the impact of the new concepts of authorities and availabilities. The analysis demonstrates that **database** administrators and privacy officers can reliably master the system. (10 Refs)

**Subfile:** C

**Descriptors:** data privacy; object-oriented programming; operating systems (computers); relational **databases** ; security of data

**Identifiers:** information system; DORIS; object oriented programming; relational **databases** ; capability based operating systems; privacy model; access control

**Class Codes:** C6160D (Relational DBMS); C6130 (Data handling techniques); C6150J (Operating systems)

31/5/4 (Item 4 from file: 2)

DIALOG(R)File 2:INSPEC

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**Title: VMS privilege masks-a method for controlling privileged tasks**

Author(s): Goatley, H.

Author Affiliation: Clyde Digital Syst., Orem, UT, USA

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Publication Date: June 1989 Country of Publication: USA

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Language: English Document Type: Journal Paper (JP)

Treatment: Practical (P)

Abstract: **Privileges** for each **account** on a VMS system are usually determined by the system manager or **security officer** for a site. The author discusses how such privileges are dispensed and their use to control access to privileged functions. (0 Refs)

Subfile: C

Descriptors: DEC computers; operating systems (computers); security of data

Identifiers: privileged task control; VMS system security; VAX system security; privilege masks; VMS system; system manager; **security officer**; privileges; privileged functions

Class Codes: C6150J (Operating systems); C6130 (Data handling techniques)